

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Kanner Steven</u>  (Last) (First) (Middle) <u>C/O CARIBOU BIOSCIENCES, INC.</u> <u>2929 7TH STREET, SUITE 105</u>  (Street) <u>BERKELEY CA 94710</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Caribou Biosciences, Inc. [ CRBU ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director _____ 10% Owner _____ Officer (give title below) _____ Other (specify below) _____ <u>Chief Scientific Officer</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>05/13/2022</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/13/2022		M		68,306	A	\$1.81	295,945	D	
Common Stock	05/13/2022		M		6,114	A	\$2.69 <sup>(1)</sup>	302,059	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Option to purchase Common Stock	\$1.81	05/13/2022		M			68,306	(2)	07/11/2027	Common Stock	68,306	\$0.00	3,534	D	
Option to purchase Common Stock	\$2.69 <sup>(1)</sup>	05/13/2022		M			6,114	(3)	09/30/2029	Common Stock	6,114	\$0.00	116,849	D	

**Explanation of Responses:**

- Due to a rounding error, the exercise price for this stock option was inadvertently originally reported as \$2.68 per share on the reporting person's Form 3 filed on July 22, 2021.
- 1/4th of the shares subject to this option vested on June 30, 2018 and an additional 1/48th of the aggregate number of shares subject to the option vested on the corresponding day of each month thereafter (or the last day of the month, if there was no corresponding day of the month), subject to the reporting person's continued services to the Issuer through the applicable vesting dates.
- 1/4th of the shares subject to this option vested on October 1, 2020 and an additional 1/48th of the aggregate number of shares subject to the option have vested or will vest on the corresponding day of each month thereafter, subject to the reporting person's continued services to the Issuer through the applicable vesting dates.

**Remarks:**

/s/ Barbara G. McClung, as attorney-in-fact 05/17/2022  
 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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