SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

I I. Name and Address of Reporting Feison			2. Issuer Name <b>and</b> Ticker or Trading Symbol Caribou Biosciences, Inc. [ CRBU ]		tionship of Reporting Pers all applicable) Director	son(s) to Issuer 10% Owner
(Last) (First) (Middle) 2929 7TH STREET, SUITE 105		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/20/2021	X	Officer (give title below) Chief Legal O	Other (specify below)
(Street) BERKELEY	CA	94710	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person
(City)	(State)	(Zip)				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	10/20/2021		<b>P</b> <sup>(1)</sup>		9,176	A	\$2.69 <sup>(2)</sup>	342,801 <sup>(3)</sup>	D	
Common Stock	10/20/2021		<b>P</b> <sup>(1)</sup>		43	A	\$2.69 <sup>(2)</sup>	342,844	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			( 0 )			<u> </u>		· •	<u> </u>		,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	oosed D) tr. 3, 4	Expiration Date (Month/Day/Year) d		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to purchase Common Stock	\$2.69 <sup>(2)</sup>	10/20/2021		x			9,176	(4)	09/30/2029	Common Stock	9,176	\$0.00	80,951	D	
Option to purchase Common Stock	\$2.69 <sup>(2)</sup>	10/20/2021		x			43	(5)	06/11/2028	Common Stock	43	\$0.00	89	D	

Explanation of Responses:

1. These shares were acquired pursuant to the exercise of a stock option, as reported in Table II below.

2. The exercise price for this stock option was incorrectly reported as \$2.68 per share on the reporting person's Form 3 filed on July 22, 2021.

3. The amount of securities beneficially owned was incorrectly reported as 333,626 shares on the reporting person's Form 3 filed on July 22, 2021. The correct number is 333,625.

4. The shares subject to this option have vested or will vest in equal monthly installments until the option is fully vested on October 1, 2023, subject to the reporting person's continued service to the Issuer through the applicable vesting dates.

5. The shares subject to this option have vested or will vest in equal monthly installments until the option is fully vested on June 12, 2022, subject to the reporting person's continued service to the Issuer through the applicable vesting dates.

Remarks:

#### <u>/s/ Rachel E. Haurwitz, as</u> <u>attorney-in-fact</u>

<u>10/21/2021</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.